



**Customer Relationship Summary:
Ameraudi Asset Management, Inc. and Ameraudi Investment Services LLC**

Updated: August 2025

An Introduction to Our Firms: Ameraudi Investment Services, LLC and Ameraudi Asset Management, Inc

Ameraudi Asset Management and Ameraudi Investment Services prepared this Relationship Summary to explain the various services we offer, how we charge for those services, conflicts of interest that exist when we provide our services and is intended to help you make an informed decision. This document is a summary of the services and fees we offer to “retail” investors, which are natural persons who seek or receive services primarily for personal, family, or household purposes.

Investment Advisory Services and Brokerage fees differ and it is important for you, our client, to understand the differences. You should carefully consider which type of accounts and services are right for you. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

Ameraudi Investment Services LLC, is an introducing broker-dealer offering brokerage services, registered with the SEC and is a Member of the Financial Industry Regulatory Authority (“FINRA”) and the Securities Investor Protection Corporation (“SIPC”).

Ameraudi Asset Management, Inc. is an investment advisor registered with the Securities and Exchange Commission offering investment advisory services.

What investment services and advice can you provide me?

Our professionals (“Financial Professionals”) offer brokerage services, investment advisory services, or both. Each Financial Professional generally provides access to a range of investment products, such as stocks, bonds, exchange- traded funds (ETFs), and mutual funds. Please note that the type of service (brokerage vs. investment advisory) and range of investment options available to you may be limited depending on the licenses your Financial Professional holds. Your Financial Professional or account program may also have specific requirements, such as account or investment minimums. We encourage you to ask your Financial Professional whether any investment limitations or account requirements apply. If your Financial Professional offers you both brokerage and advisory services, your Financial Professional will inform you when he or she offers an investment recommendation or advice, and whether the recommendation or advice is part of a brokerage or advisory service. Some of the key differences between brokerage and investment advisory services are described below.

Brokerage Services

You can open a brokerage account with Ameraudi Investment Services for buying and selling investments. You would be able to select investments, or we may recommend investments for your brokerage account. However, the ultimate decision is yours since you would need to approve any recommendations. Your investment professional does not have trading discretion over your brokerage account.

Monitoring

The investment professional you hire is under no obligation to monitor your account for performance.

Account Minimums

Our brokerage services do not have account minimums.

Investment Advisory Services

Some of the investment advisory services we offer include portfolio management, socially responsible investing, tax efficient strategies, separately managed accounts and financial planning services. We manage investment accounts on a discretionary basis whereby we will decide which investments to buy or sell for your account. We have discretion to select, retain or replace third-party managers to manage your accounts. You may limit our discretionary authority (for example, limiting the types of securities that can be purchased or sold for your account) by providing our firm with your restrictions and guidelines in writing. We also offer non-discretionary investment management services whereby we will provide advice, but you will ultimately decide which investments to buy and sell for your account. You have an unrestricted right to

For more detailed information about our advisory services please refer to our Form ADV Part 2A Brochure, which can be found at adviserinfo.sec.gov. Detailed information about our brokerage services can be found in our Investor Agreements & Disclosures document, which can be obtained directly from your Financial Professional or by calling (212) 833-1090.



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	decline to implement any advice provided by our firm on a non-discretionary basis. Monitoring We'll generally monitor accounts, and specific investments within accounts, on an ongoing basis to align with your investment goals. Account Minimums The account minimum required to establish a managed or trading portfolio is \$1,000,000, and the account minimum required to establish a custom portfolio is \$1,000,000. Under certain circumstances, the account minimums may be lowered or waived at the sole discretion of Ameraudi Asset Management. Additionally, minimum account size requirements may vary for third party manager relationships.
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Key Questions to Ask Your Financial Professional

- **Given my financial situation, should I choose an investment advisor service? Should I choose a brokerage service? Should I choose both types of services? Why or why not?**
- **How will you choose investments to recommend to me?**
- **What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?**

What fees will I pay?

Fees differ between brokerage and investment advisory services. To help you understand this better, we have outlined the fees that are associated with the services and investments that we offer. Should you have questions or would like a copy of our fee schedules for either our brokerage or advisory programs, please contact your Financial Professional or call us at (212) 833-1090. Carefully read the Form ADV brochure applicable to your account for more information regarding fees.

Brokerage Fees For brokerage services, we charge a transaction-based fee (sometimes referred to as a commission) every time you buy or sell an investment. The amount you pay as a transaction-based fee varies according to the particular investment and amount invested. You should be aware, the more trades you make, the more transaction-based fees we earn. This creates an incentive for your Financial Professional to encourage you to trade more often than you otherwise would. For investments in stocks or ETFs, the transaction-based fee is usually charged as a separate commission or sales charge. For investments in bonds, this fee is typically included as part of the price you pay for the investment (called a markup or markdown). The firm does not trade fixed income from its own	Investment Advisory Services For investment advisory services, we typically charge an ongoing annual fee (sometimes referred to as an asset-based fee). The amount paid to us generally does not vary based on the type of investments selected. This fee is a percentage of the value of your account and is usually charged quarterly in advance. You pay this fee even if you don't buy or sell investments. The more assets you have in an asset-based fee account, the more you'll pay us in fees. This creates an incentive for your Financial Professional to encourage you to increase the size of your account, including by transferring or rolling over assets from other accounts.
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inventory (Proprietary Principal Trading) and instead trades on a 'Riskless Principal basis' by purchasing the security for ourselves and then selling you the security. We may choose to sell you the security at the same price for which we purchased the security, plus a 'mark-up'. A 'mark-down' would apply when you are selling a fixed income security.	
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Other Fees and Costs

If applicable to your account, you may be charged for other fees in addition to brokerage commissions and advisory fees, including: (1) account maintenance fees such as custody, account inactivity fees, and corporate actions; (2) cash management fees such as cash sweep, and wire fees; and (3) investment specific fees such as those for administration of funds. You may also incur fees charged by the particular investment product in which you are invested, including mutual funds, ETFs, in addition to brokerage commissions and advisory fees charged by us. Some of these fees may be shared, as described below in 'Third-Party Payments'. Certain investment products have significant fees triggered by particular events, e.g., annuities may include mortality, expense, and administrative fees, and fees for excessive transfers or early withdrawals.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. Always review your account statements for the fees you are charged.

Key Questions to Ask Your Financial Professional

- **Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?**

What are your legal obligations to me when providing recommendations as my broker-dealer or when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

Standard of Conduct: *When we provide you with a recommendation as your broker-dealer or act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations and investment advice we provide you. Here are some examples to help you understand what this means.*

Affiliate Services: Ameraudi Asset Management and Interaudi share some individuals on the board of directors. A majority of Ameraudi Asset Management and Ameraudi Investment Services clients maintain accounts at Interaudi Bank and Interaudi provides administrative and other services to Ameraudi. The relationship with Interaudi is material to our business. There is a potential conflict of interest when Ameraudi recommends that clients use Interaudi Bank for banking products and services or when Interaudi Bank recommends Ameraudi's investment management services to bank clients as the fees paid for such services are retained by Ameraudi and its affiliate. In such cases, Ameraudi and Interaudi may be deemed to earn more compensation than if the client obtained banking products and services from an unaffiliated party. Our clients are under no obligation to bank with Interaudi Bank and are encouraged to consider other options to ensure that the products and services offered by Interaudi bank are comparable or equivalent to the products and services available from other banking institutions.

Rollovers: Your Financial Professional may also be licensed to provide investment advice to retirement clients. If you choose to utilize

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your Financial Professional to rollover your retirement plan account to a brokerage or investment advisory IRA, your Financial Professional and our Firm will receive compensation from your IRA. This compensation could be more than what the Financial Professional would receive if you chose to leave your retirement plan account with your employer. This creates an incentive for your Financial Professional to encourage you to rollover your assets into an IRA maintained at our Firm. Accounts maintained as investment advisory accounts, the fee that you pay is made up of an advisory fee. If you choose to invest in one of our firm's advisory portfolios, that advisory fee will be paid to our firm. This creates incentive for us to recommend that you invest your assets in those portfolios.

Solicitors: You may be introduced to Ameraudi Asset Management by a solicitor that we have an agreement with. We will pay that solicitor a referral fee directly from the Investment professional's advisory fee. This provides an incentive for the solicitor to refer you to us. In these cases, we will provide you with a Solicitors Disclosure Document.

Key Questions to Ask Your Financial Professional

- **How might your conflicts of interest affect me, and how will you address them?**

How do your financial professionals make money?

Our Financial Professionals receive a base salary and discretionary bonus. The investment advisory accounts fees are a percentage of account value. Brokerage accounts will receive commission based on the transaction activity in the account. The advisory or brokerage fees you pay to us creates an incentive for those Financial Professionals to encourage you to increase your investment account size or trade more frequently. Our Financial Professionals receive a base pay and a discretionary bonus that is not tied to specific brokerage or advisory services. Although your Financial Professional must recommend investment products or manage your account in your best interest, additional revenue for Ameraudi creates an incentive for them to recommend specific financial products. Your Financial Professional is legally required to act in your best interest and not put his or her interests ahead of your own. We have systems and processes in place to mitigate the conflicts of interest that arise from the way your Financial Professional makes money, including systems to review whether a recommendation is in your best interest.

Do your financial professionals have legal or disciplinary history?

No, our Financial Professionals do not have a legal or disciplinary history. Please visit [Investor.gov/CRS](https://www.investor.gov/CRS) for free and simple search tools to research our firms and our financial professionals.

Key Questions to Ask Your Financial Professional

- **As a financial professional, do you have any disciplinary history? For what type of conduct?**

Additional Information:

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Key Questions to Ask Your Financial Professional

- **Who is my primary contact person?**
- **Is he or she a representative of an investment adviser or a broker-dealer?**
- **Who can I talk to if I have concerns about how this person is treating me?**

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